85 years of Nielsen theory: Periodic Points

P. Christopher Staecker

Fairfield University, Fairfield CT

Nielsen Theory and Related Topics 2013

We want to generalize the ideas to $f^n(x) = x$ for various n.

We want to generalize the ideas to $f^n(x) = x$ for various *n*. These are periodic points with period *n*.

We want to generalize the ideas to $f^n(x) = x$ for various *n*. These are periodic points with period *n*. If this *n* is minimal, we say *x* has "minimal period *n*".

We want to generalize the ideas to $f^n(x) = x$ for various *n*. These are periodic points with period *n*. If this *n* is minimal, we say *x* has "minimal period *n*".

A very simplistic approach:

We want to generalize the ideas to $f^n(x) = x$ for various *n*. These are <u>periodic points</u> with period *n*. If this *n* is minimal, we say *x* has "minimal period *n*".

A very simplistic approach: A periodic point with period n is a fixed point of f^n .

We want to generalize the ideas to $f^n(x) = x$ for various *n*. These are <u>periodic points</u> with period *n*. If this *n* is minimal, we say *x* has "minimal period *n*".

A very simplistic approach: A periodic point with period n is a fixed point of f^n .

So we can use $L(f^n)$ and $N(f^n)$ to count periodic points, and

 $N(f^n) \leq MF(f^n).$

We want to generalize the ideas to $f^n(x) = x$ for various *n*. These are <u>periodic points</u> with period *n*. If this *n* is minimal, we say *x* has "minimal period *n*".

A very simplistic approach: A periodic point with period n is a fixed point of f^n .

So we can use $L(f^n)$ and $N(f^n)$ to count periodic points, and

 $N(f^n) \leq MF(f^n).$

This is all true, but not quite what we want.

Consider $S^1 \subset \mathbb{C}$, and $f: S^1 \to S^1$ by $f(x) = \overline{x}$, the complex conjugate.

Consider $S^1 \subset \mathbb{C}$, and $f: S^1 \to S^1$ by $f(x) = \overline{x}$, the complex conjugate.

Then
$$f^2(x) = x$$
 for all x , so f^2 is the degree 1 map on S^1 , so $L(f^2) = |1 - 1| = 0$ and $N(f^2) = |1 - 1| = 0$.

Consider $S^1 \subset \mathbb{C}$, and $f: S^1 \to S^1$ by $f(x) = \overline{x}$, the complex conjugate.

Then
$$f^2(x) = x$$
 for all x, so f^2 is the degree 1 map on S^1 , so $L(f^2) = |1 - 1| = 0$ and $N(f^2) = |1 - 1| = 0$.

BUT:

Consider $S^1 \subset \mathbb{C}$, and $f: S^1 \to S^1$ by $f(x) = \overline{x}$, the complex conjugate.

Then
$$f^2(x) = x$$
 for all x, so f^2 is the degree 1 map on S^1 , so $L(f^2) = |1 - 1| = 0$ and $N(f^2) = |1 - 1| = 0$.

BUT: $f : S^1 \to S^1$ is degree -1, so N(f) = L(f) = 2 so all maps homotopic to f have at least 2 fixed points

Consider $S^1 \subset \mathbb{C}$, and $f: S^1 \to S^1$ by $f(x) = \overline{x}$, the complex conjugate.

Then
$$f^2(x) = x$$
 for all x, so f^2 is the degree 1 map on S^1 , so $L(f^2) = |1 - 1| = 0$ and $N(f^2) = |1 - 1| = 0$.

BUT: $f : S^1 \to S^1$ is degree -1, so N(f) = L(f) = 2 so all maps homotopic to f have at least 2 fixed points, and thus at least 2 periodic points of period 2.

Consider $S^1 \subset \mathbb{C}$, and $f: S^1 \to S^1$ by $f(x) = \overline{x}$, the complex conjugate.

Then
$$f^2(x) = x$$
 for all x, so f^2 is the degree 1 map on S^1 , so $L(f^2) = |1 - 1| = 0$ and $N(f^2) = |1 - 1| = 0$.

BUT: $f : S^1 \to S^1$ is degree -1, so N(f) = L(f) = 2 so all maps homotopic to f have at least 2 fixed points, and thus at least 2 periodic points of period 2.

So $N(f^2) = 0$ even though all maps homotopic to f have at least 2 periodic points of period 2.

The problem is that there's a difference between:

$$MF(f^2) = \min\{\#\operatorname{Fix}(g) \mid g \simeq f^2\}$$

and

$$\min\{\#\operatorname{Fix}(g^2) \mid g \simeq f\}$$

The problem is that there's a difference between:

$$MF(f^2) = \min\{\#\operatorname{Fix}(g) \mid g \simeq f^2\}$$

and

$$\min\{\#\operatorname{Fix}(g^2) \mid g \simeq f\}$$

In our example, $MF(f^2) = 0$ but the second quantity is ≥ 2 .

The problem is that there's a difference between:

$$MF(f^2) = \min\{\#\operatorname{Fix}(g) \mid g \simeq f^2\}$$

and

$$\min\{\#\operatorname{Fix}(g^2) \mid g \simeq f\}$$

In our example, $MF(f^2) = 0$ but the second quantity is ≥ 2 .

In other words, when we look at $f^n(x) = x$, we should only be changing f by homotopy

The problem is that there's a difference between:

$$MF(f^2) = \min\{\#\operatorname{Fix}(g) \mid g \simeq f^2\}$$

and

$$\min\{\#\operatorname{Fix}(g^2) \mid g \simeq f\}$$

In our example, $MF(f^2) = 0$ but the second quantity is ≥ 2 .

In other words, when we look at $f^n(x) = x$, we should only be changing f by homotopy, not f^n .

Not much!

Not much! In our example ind(f, x) = 1 and $ind(f^2, x) = 0$.

Not much! In our example ind(f, x) = 1 and $ind(f^2, x) = 0$.

The sequence of fixed point indices $(ind(f, x), ind(f^2, x), ...)$ can be fairly unpredictable.

Not much! In our example ind(f, x) = 1 and $ind(f^2, x) = 0$.

The sequence of fixed point indices $(ind(f, x), ind(f^2, x), ...)$ can be fairly unpredictable.

Even the sequence of Leftchetz numbers $(L(f), L(f^2), ...)$ has a complicated structure.

Not much! In our example ind(f, x) = 1 and $ind(f^2, x) = 0$.

The sequence of fixed point indices $(ind(f, x), ind(f^2, x), ...)$ can be fairly unpredictable.

Even the sequence of Leftchetz numbers $(L(f), L(f^2), ...)$ has a complicated structure.

```
This was studied by Dold (1983)
```

$$\sum_{k|n} \mu(k) L(f^{n/k}) = 0 \mod n$$

where μ is the Möbius function.

$$\sum_{k|n} \mu(k) L(f^{n/k}) = 0 \mod n$$

where μ is the Möbius function.

The above equations are called the Dold congruences

$$\sum_{k|n} \mu(k) L(f^{n/k}) = 0 \mod n$$

where μ is the Möbius function.

The above equations are called the <u>Dold congruences</u>, and they are also satisfied by the sequence of indices.

$$\sum_{k|n} \mu(k) L(f^{n/k}) = 0 \mod n$$

where μ is the Möbius function.

The above equations are called the <u>Dold congruences</u>, and they are also satisfied by the sequence of indices.

In fact, Dold proved a converse:

Theorem

(Dold) Let (i_n) be a sequence which satisfies the Dold congruences. Then there is a selfmap of an ENR such that (i_n) is the sequence of fixed point indices.

$$\sum_{k|n} \mu(k) L(f^{n/k}) = 0 \mod n$$

where μ is the Möbius function.

The above equations are called the <u>Dold congruences</u>, and they are also satisfied by the sequence of indices.

In fact, Dold proved a converse:

Theorem

(Dold) Let (i_n) be a sequence which satisfies the Dold congruences. Then there is a selfmap of an ENR such that (i_n) is the sequence of fixed point indices.

Lots more work on this followed.

We can use the sequences to define zeta functions

We can use the sequences to define zeta functions (Dugardein's talk)

We can use the sequences to define zeta functions (Dugardein's talk)

Jiang also defines the <u>asymptotic Nielsen number</u> $N^{\infty}(f)$, the exponential growth rate of the sequence of Nielsen numbers.
By the way, the asymptotic behavior of the sequences of Lefschetz and Nielsen numbers are also studied.

We can use the sequences to define zeta functions (Dugardein's talk)

Jiang also defines the <u>asymptotic Nielsen number</u> $N^{\infty}(f)$, the exponential growth rate of the sequence of Nielsen numbers.

Jiang showed log $N^{\infty}(f)$ is a lower bound for the topological entropy of f.

Summary:

Summary: The behavior of the sequences $(L(f^n)), (N(f^n)), (ind(f^n, x))$ is complicated and interesting.

Summary: The behavior of the sequences $(L(f^n)), (N(f^n)), (ind(f^n, x))$ is complicated and interesting.

We'll focus mainly on getting information about specific iterations.

Summary: The behavior of the sequences $(L(f^n)), (N(f^n)), (ind(f^n, x))$ is complicated and interesting.

We'll focus mainly on getting information about <u>specific</u> iterations. Not the whole sequences.

This theory is not 85 years old-

Jiang's work was based on unpublished papers by Halpern from the 1970s.

Jiang's work was based on unpublished papers by Halpern from the 1970s.

There are two basic invariants, which J&M call the $\underline{\mbox{Nielsen-Jiang periodic}}$ numbers:

Jiang's work was based on unpublished papers by Halpern from the 1970s.

There are two basic invariants, which J&M call the $\underline{\mbox{Nielsen-Jiang periodic}}$ numbers:

• $NP_n(f)$ counts the number of periodic points with minimal period *n*.

Jiang's work was based on unpublished papers by Halpern from the 1970s.

There are two basic invariants, which J&M call the $\underline{\mbox{Nielsen-Jiang periodic}}$ numbers:

- $NP_n(f)$ counts the number of periodic points with minimal period *n*.
- $N\Phi_n(f)$ counts the number of all periodic points with period *n*.

For example, for any map we have:

#{ points with period
$$n$$
 } = $\sum_{k|n} \#$ { points with minimal period k }

For example, for any map we have:

#{ points with period
$$n$$
 } = $\sum_{k|n} \#$ { points with minimal period k }

So we could hope that

$$N\Phi_n(f) \stackrel{?}{=} \sum_{k|n} NP_n(f).$$

For example, for any map we have:

#{ points with period
$$n$$
 } = $\sum_{k|n} \#$ { points with minimal period k }

So we could hope that

$$N\Phi_n(f) \stackrel{?}{=} \sum_{k|n} NP_n(f).$$

This is true for nice spaces, but not always.

As usual we'll use the Reidemeister classes and the fixed point index

As usual we'll use the Reidemeister classes and the fixed point index, but what about minimality of periods?

As usual we'll use the Reidemeister classes and the fixed point index, but what about minimality of periods?

It turns out this can be approached algebraically using the Reidemeister classes.

We want something like this for Reidemeister classes.

We want something like this for Reidemeister classes.

If $x \in Fix(f^k)$ has Reidemeister class $\alpha \in \mathcal{R}(f^k)$, what is the Reidemeister class of x when we view $x \in Fix(f^n)$?

We want something like this for Reidemeister classes.

If $x \in Fix(f^k)$ has Reidemeister class $\alpha \in \mathcal{R}(f^k)$, what is the Reidemeister class of x when we view $x \in Fix(f^n)$?

It can't be "the same", since $\mathcal{R}(f^k)$ and $\mathcal{R}(f^n)$ are different groups.

We want something like this for Reidemeister classes.

If $x \in Fix(f^k)$ has Reidemeister class $\alpha \in \mathcal{R}(f^k)$, what is the Reidemeister class of x when we view $x \in Fix(f^n)$?

It can't be "the same", since $\mathcal{R}(f^k)$ and $\mathcal{R}(f^n)$ are different groups.

For example on the circle, if f is degree d, then $\mathcal{R}(f^k) = \mathbb{Z}_{|1-d^k|}$ and $\mathcal{R}(f^n) = \mathbb{Z}_{|1-d^n|}$.

We want something like this for Reidemeister classes.

If $x \in Fix(f^k)$ has Reidemeister class $\alpha \in \mathcal{R}(f^k)$, what is the Reidemeister class of x when we view $x \in Fix(f^n)$?

It can't be "the same", since $\mathcal{R}(f^k)$ and $\mathcal{R}(f^n)$ are different groups.

For example on the circle, if f is degree d, then $\mathcal{R}(f^k) = \mathbb{Z}_{|1-d^k|}$ and $\mathcal{R}(f^n) = \mathbb{Z}_{|1-d^n|}$.

So what we need is a map $\mathcal{R}(f^k) \to \mathcal{R}(f^n)$ which respects the periods correctly.

Here is the map $\iota_{k,n} : \mathcal{R}(f^k) \to \mathcal{R}(f^n)$, called the boost from level k to level n.

Here is the map $\iota_{k,n} : \mathcal{R}(f^k) \to \mathcal{R}(f^n)$, called the boost from level k to level n.

For $\alpha \in \pi_1$ define:

$$\iota_{k,n}([\alpha]^k) = [\alpha f_{\#}^k(\alpha) f_{\#}^{2k}(\alpha) \dots f_{\#}^{n-k}(\alpha)]^n.$$

Here is the map $\iota_{k,n} : \mathcal{R}(f^k) \to \mathcal{R}(f^n)$, called the boost from level k to level n.

For $\alpha \in \pi_1$ define:

$$\iota_{k,n}([\alpha]^k) = [\alpha f_{\#}^k(\alpha) f_{\#}^{2k}(\alpha) \dots f_{\#}^{n-k}(\alpha)]^n.$$

The superscript in $[\alpha]^k$ just reminds us that this is the Reidemeister class of α in $\mathcal{R}(f^k)$.

If $x \in Fix(f^k)$ has Reidemeister class $[\alpha]^k \in \mathcal{R}(f^k)$, then $x \in Fix(f^n)$ has Reidemeister class $\iota_{k,n}([\alpha]^k) \in \mathcal{R}(f^n)$.

If $x \in Fix(f^k)$ has Reidemeister class $[\alpha]^k \in \mathcal{R}(f^k)$, then $x \in Fix(f^n)$ has Reidemeister class $\iota_{k,n}([\alpha]^k) \in \mathcal{R}(f^n)$.

The boost also composes nicely.

If $x \in Fix(f^k)$ has Reidemeister class $[\alpha]^k \in \mathcal{R}(f^k)$, then $x \in Fix(f^n)$ has Reidemeister class $\iota_{k,n}([\alpha]^k) \in \mathcal{R}(f^n)$.

The boost also composes nicely.

When $m \mid k \mid n$, we have

 $\iota_{m,n} = \iota_{k,n} \circ \iota_{m,k}$

When $[\alpha]^n$ is in the image of some $\iota_{k,n}$ for $k \mid n$, we say that $[\alpha]^n$ is reducible.

When $[\alpha]^n$ is in the image of some $\iota_{k,n}$ for $k \mid n$, we say that $[\alpha]^n$ is reducible. Otherwise it's irreducible.

When $[\alpha]^n$ is in the image of some $\iota_{k,n}$ for $k \mid n$, we say that $[\alpha]^n$ is reducible. Otherwise it's irreducible.

This is the algebraic version of some point having nonminimal period.

When $[\alpha]^n$ is in the image of some $\iota_{k,n}$ for $k \mid n$, we say that $[\alpha]^n$ is reducible. Otherwise it's irreducible.

This is the algebraic version of some point having nonminimal period.

If we want a Nielsen number for minimal periods, it might be good to define $NP_n(f)$ as

of essential irreducible classes of $\mathcal{R}(f^n)$
When $[\alpha]^n$ is in the image of some $\iota_{k,n}$ for $k \mid n$, we say that $[\alpha]^n$ is reducible. Otherwise it's irreducible.

This is the algebraic version of some point having nonminimal period.

If we want a Nielsen number for minimal periods, it might be good to define $NP_n(f)$ as

of essential irreducible classes of $\mathcal{R}(f^n)$

This is not quite good enough.

$$x \in Fix(f^n)$$
 has $\{x, f(x), \dots, f^{n-1}(x)\}.$

$$x \in Fix(f^n)$$
 has $\{x, f(x), ..., f^{n-1}(x)\}.$

When x has minimal period n, the points of the orbit are all distinct

$$x \in Fix(f^n)$$
 has $\{x, f(x), \dots, f^{n-1}(x)\}.$

When x has minimal period n, the points of the orbit are all distinct, and they all have minimal period n.

$$x \in Fix(f^n)$$
 has $\{x, f(x), \dots, f^{n-1}(x)\}.$

When x has minimal period n, the points of the orbit are all distinct, and they all have minimal period n.

It turns out there are times when $x \in Fix(f^n)$ has the same Reidemeister class as $f(x) \in Fix(f^n)$.

$$x \in Fix(f^n)$$
 has $\{x, f(x), \dots, f^{n-1}(x)\}.$

When x has minimal period n, the points of the orbit are all distinct, and they all have minimal period n.

It turns out there are times when $x \in Fix(f^n)$ has the same Reidemeister class as $f(x) \in Fix(f^n)$.

Actually it's possible that every point in the orbit of x has the same Reidemeister class as x.

$$x \in Fix(f^n)$$
 has $\{x, f(x), ..., f^{n-1}(x)\}.$

$$x \in Fix(f^n)$$
 has $\{x, f(x), ..., f^{n-1}(x)\}.$

In that case, $\mathcal{R}(f^n)$ has only 1 essential irreducible class

$$x \in \operatorname{Fix}(f^n)$$
 has $\{x, f(x), \ldots, f^{n-1}(x)\}$.

In that case, $\mathcal{R}(f^n)$ has only 1 essential irreducible class, but *n* different points with minimal period *n*.

$$x \in Fix(f^n)$$
 has $\{x, f(x), \dots, f^{n-1}(x)\}.$

In that case, $\mathcal{R}(f^n)$ has only 1 essential irreducible class, but *n* different points with minimal period *n*.

So the number of essential irreducible classes is not a lower bound for the number of points with minimal period n.

$$x \in Fix(f^n)$$
 has $\{x, f(x), ..., f^{n-1}(x)\}.$

In that case, $\mathcal{R}(f^n)$ has only 1 essential irreducible class, but *n* different points with minimal period *n*.

So the number of essential irreducible classes is not a lower bound for the number of points with minimal period n.

We need to be careful about the orbits.

Luckily, we can approach the orbits algebraically too.

Luckily, we can approach the orbits algebraically too.

For a class $[\alpha]^n \in \mathcal{R}(f^n)$, the <u>Reidemeister orbit</u> of α is: $\{[\alpha]^n, [f_{\#}(\alpha)]^n, \dots, [f_{\#}^{n-1}(\alpha)]^n\}.$ Luckily, we can approach the orbits algebraically too.

For a class $[\alpha]^n \in \mathcal{R}(f^n)$, the Reidemeister orbit of α is:

$$\{ [\alpha]^n, [f_{\#}(\alpha)]^n, \dots, [f_{\#}^{n-1}(\alpha)]^n \}.$$

An orbit is <u>reducible</u> if it contains a reducible class, and <u>essential</u> if it contains an essential class.

The invariant we're looking for is:

$$NP_n(f) = (\# \text{ essential irreducible orbits in } \mathcal{R}(f^n)) \cdot n$$

The invariant we're looking for is:

$$NP_n(f) = (\# \text{ essential irreducible orbits in } \mathcal{R}(f^n)) \cdot n$$

Times n because each orbit indicates n points of minimal period n.

The invariant we're looking for is:

 $NP_n(f) = (\# \text{ essential irreducible orbits in } \mathcal{R}(f^n)) \cdot n$

Times n because each orbit indicates n points of minimal period n.

Once you show all of this is well-defined, it's not hard to show:

$$NP_n(f) \leq \min\{\#P_n(g) \mid g \simeq f\}$$

where P_n is the set of periodic points with minimal period n.

On circles (and tori), things are well behaved:

When f is degree d ≠ 1, we have R(fⁿ) = Z_{|1-dⁿ|} and all classes are essential.

On circles (and tori), things are well behaved:

- When f is degree d ≠ 1, we have R(fⁿ) = Z_{|1-dⁿ|} and all classes are essential.
- ▶ All Reidemeister orbits at level *n* have *n* distinct classes.

On circles (and tori), things are well behaved:

- When f is degree d ≠ 1, we have R(fⁿ) = Z_{|1-dⁿ|} and all classes are essential.
- ▶ All Reidemeister orbits at level *n* have *n* distinct classes.

$$NP_n(f) = (\# \text{ essential irreducible orbits in } \mathcal{R}(f^n)) \cdot n$$

= $\#$ of essential irreducible classes of $\mathcal{R}(f^n)$

For $NP_1(f)$:

For $NP_1(f)$: All classes at level 1 are irreducible, so

 $NP_1(f) = \#$ of essential irreducible classes of $\mathcal{R}(f^1)$

For $NP_1(f)$: All classes at level 1 are irreducible, so

 $NP_1(f) = \#$ of essential irreducible classes of $\mathcal{R}(f^1)$ = # of essential classes of $\mathcal{R}(f)$

For $NP_1(f)$: All classes at level 1 are irreducible, so

 $NP_1(f) = \#$ of essential irreducible classes of $\mathcal{R}(f^1)$ = # of essential classes of $\mathcal{R}(f) = N(f)$

For $NP_1(f)$: All classes at level 1 are irreducible, so

$$NP_1(f) = \#$$
 of essential irreducible classes of $\mathcal{R}(f^1)$
= $\#$ of essential classes of $\mathcal{R}(f) = N(f) = |1 - 4| = 3$.



All are essential, which are reducible?

All are essential, which are reducible? What's the image of $\iota_{1,2}$?

All are essential, which are reducible? What's the image of $\iota_{1,2}$?

$$\iota_{1,2}([\alpha]^1) = [\alpha]^2 + [f(\alpha)]^2 = [\alpha] + 4[\alpha] = 5[\alpha].$$

All are essential, which are reducible? What's the image of $\iota_{1,2}$?

$$\iota_{1,2}([\alpha]^1) = [\alpha]^2 + [f(\alpha)]^2 = [\alpha] + 4[\alpha] = 5[\alpha].$$

So $\iota_{1,2}: \mathbb{Z}_3 \to \mathbb{Z}_{15}$ is multiplication by 5.

All are essential, which are reducible? What's the image of $\iota_{1,2}$?

$$\iota_{1,2}([\alpha]^1) = [\alpha]^2 + [f(\alpha)]^2 = [\alpha] + 4[\alpha] = 5[\alpha].$$

So $\iota_{1,2}: \mathbb{Z}_3 \to \mathbb{Z}_{15}$ is multiplication by 5.

So in \mathbb{Z}_{15} , $\{0, 5, 10\}$ are reducible, the other 12 are irreducible.

All are essential, which are reducible? What's the image of $\iota_{1,2}$?

$$\iota_{1,2}([\alpha]^1) = [\alpha]^2 + [f(\alpha)]^2 = [\alpha] + 4[\alpha] = 5[\alpha].$$

So $\iota_{1,2}: \mathbb{Z}_3 \to \mathbb{Z}_{15}$ is multiplication by 5.

So in \mathbb{Z}_{15} , $\{0, 5, 10\}$ are reducible, the other 12 are irreducible.

So $NP_2(f) = 12$.

NP₃ is similar.

 NP_3 is similar. $\mathcal{R}(f^3) = \mathbb{Z}_{|1-4^3|} = \mathbb{Z}_{63}$.
$$\iota_{1,3} = 1 + 4 + 4^2 = 21$$

$$\iota_{1,3} = 1 + 4 + 4^2 = 21$$

There is no $\iota_{2,3}$.

$$\iota_{1,3} = 1 + 4 + 4^2 = 21$$

There is no $\iota_{2,3}$.

So in \mathbb{Z}_{63} the multiples of 21 are reducible.

$$\iota_{1,3} = 1 + 4 + 4^2 = 21$$

There is no $\iota_{2,3}$.

So in \mathbb{Z}_{63} the multiples of 21 are reducible.

So we have 3 reducible classes, so $NP_3(f) = 63 - 3 = 60$.

We have

$$\iota_{1,4} = 1 + 4 + 4^2 + 4^3 = 85$$

We have

$$\iota_{1,4} = 1 + 4 + 4^2 + 4^3 = 85$$

 $\iota_{2,4} = 1 + 4^2 = 17$

So in $\mathcal{R}(f^4) = \mathbb{Z}_{|1-4^4|} = \mathbb{Z}_{255}$, multiples of 85 and multiples of 17 are reducible.

We have

$$\iota_{1,4} = 1 + 4 + 4^2 + 4^3 = 85$$

 $\iota_{2,4} = 1 + 4^2 = 17$

So in $\mathcal{R}(f^4) = \mathbb{Z}_{|1-4^4|} = \mathbb{Z}_{255}$, multiples of 85 and multiples of 17 are reducible.

But $85 = 5 \cdot 17$, so really it's just the multiples of 17

We have

$$\iota_{1,4} = 1 + 4 + 4^2 + 4^3 = 85$$

 $\iota_{2,4} = 1 + 4^2 = 17$

So in $\mathcal{R}(f^4) = \mathbb{Z}_{|1-4^4|} = \mathbb{Z}_{255}$, multiples of 85 and multiples of 17 are reducible.

But $85 = 5 \cdot 17$, so really it's just the multiples of 17 and $255 = 15 \cdot 17$, so we have 15 reducible classes.

We have

$$\iota_{1,4} = 1 + 4 + 4^2 + 4^3 = 85$$

 $\iota_{2,4} = 1 + 4^2 = 17$

So in $\mathcal{R}(f^4) = \mathbb{Z}_{|1-4^4|} = \mathbb{Z}_{255}$, multiples of 85 and multiples of 17 are reducible.

But $85 = 5 \cdot 17$, so really it's just the multiples of 17 and $255 = 15 \cdot 17$, so we have 15 reducible classes.

So $NP_4(f) = 255 - 15 = 240$.

This type of computation can be done pretty easily for tori



It turns out all these spaces have some very nice properties which make these computations possible.

It turns out all these spaces have some very nice properties which make these computations possible.

We've repeatedly used the fact that the Reidemeister orbits at level n contain n distinct classes.

It turns out all these spaces have some very nice properties which make these computations possible.

We've repeatedly used the fact that the Reidemeister orbits at level n contain n distinct classes.

It's also true for tori that $\iota_{k,n}$ is injective (when $L(f^n) \neq 0$).

It turns out all these spaces have some very nice properties which make these computations possible.

We've repeatedly used the fact that the Reidemeister orbits at level n contain n distinct classes.

It's also true for tori that $\iota_{k,n}$ is injective (when $L(f^n) \neq 0$). Then we often don't need to compute the map $\iota_{k,n}$ exactly.

This is meant to be a lower bound for

 $\min\{\#\operatorname{Fix}(g^n) \mid g \simeq f\}$

This is meant to be a lower bound for

 $\min\{\#\operatorname{Fix}(g^n) \mid g \simeq f\}$

 $N(f^n)$ is inadequate for this.

This is meant to be a lower bound for

 $\min\{\#\operatorname{Fix}(g^n) \mid g \simeq f\}$

 $N(f^n)$ is inadequate for this.

Our earlier example: complex conjugate on S^1 .

But N(f) = 2 so all maps homotopic to f have at least 2 fixed points

But N(f) = 2 so all maps homotopic to f have at least 2 fixed points, and thus at least 2 periodic points of period 2.

But N(f) = 2 so all maps homotopic to f have at least 2 fixed points, and thus at least 2 periodic points of period 2.

So $N(f^2) = 0$ even though all maps homotopic to f have at least 2 periodic points of period 2.

But N(f) = 2 so all maps homotopic to f have at least 2 fixed points, and thus at least 2 periodic points of period 2.

So $N(f^2) = 0$ even though all maps homotopic to f have at least 2 periodic points of period 2.

The issue here is that the 2 points of period 2 are an inessential class in $\mathcal{R}(f^2)$, but they are preceded by an essential class in $\mathcal{R}(f)$.

But N(f) = 2 so all maps homotopic to f have at least 2 fixed points, and thus at least 2 periodic points of period 2.

So $N(f^2) = 0$ even though all maps homotopic to f have at least 2 periodic points of period 2.

The issue here is that the 2 points of period 2 are an inessential class in $\mathcal{R}(f^2)$, but they are preceded by an essential class in $\mathcal{R}(f)$.

So really we need to count those as being essential.

Given a Reidemeister orbit at level n, we need to consider all possible reductions to see if it is preceded by an essential orbit at a lower level.

Given a Reidemeister orbit at level n, we need to consider all possible reductions to see if it is preceded by an essential orbit at a lower level.

Each such preceding essential orbit contributes to $N\Phi_n(f)$.

Given a Reidemeister orbit at level n, we need to consider all possible reductions to see if it is preceded by an essential orbit at a lower level.

Each such preceding essential orbit contributes to $N\Phi_n(f)$.

Specifically, a preceding essential orbit at level k should increase $N\Phi_n(f)$ by k.

$$\bigcup_{k|n} \mathcal{OR}(f^k)$$

$$\bigcup_{k|n} \mathcal{OR}(f^k)$$

A set of orbits \mathcal{G} is called a <u>preceding [n-]system</u> when every essential orbit of the union reduces to something in \mathcal{G} .

$$\bigcup_{k|n} \mathcal{OR}(f^k)$$

A set of orbits \mathcal{G} is called a <u>preceding [*n*-]system</u> when every essential orbit of the union reduces to something in \mathcal{G} .

Every orbit has a depth: the lowest level to which it reduces.

$$\bigcup_{k|n} \mathcal{OR}(f^k)$$

A set of orbits \mathcal{G} is called a <u>preceding [*n*-]system</u> when every essential orbit of the union reduces to something in \mathcal{G} .

Every orbit has a depth: the lowest level to which it reduces.

 ${\mathcal G}$ is a minimal preceding system if its depth sum is minimal.

Then $N\Phi_n(f)$ is defined as:

$$N\Phi_n(f) = \sum_{O\in\mathcal{G}} d(O),$$

where d is the depth and G is any minimal preceding *n*-system.

Then $N\Phi_n(f)$ is defined as:

$$N\Phi_n(f) = \sum_{O\in\mathcal{G}} d(O),$$

where d is the depth and G is any minimal preceding *n*-system.

So any preceding orbit at level k contributes k to the sum, which is what we wanted.
Then $N\Phi_n(f)$ is defined as:

$$N\Phi_n(f) = \sum_{O\in\mathcal{G}} d(O),$$

where d is the depth and G is any minimal preceding *n*-system.

So any preceding orbit at level k contributes k to the sum, which is what we wanted.

Pretty complicated!

For example,

$$N\Phi_n(f) \stackrel{?}{=} \sum_{k|n} NP_k(f)$$

For example,

$$N\Phi_n(f) \stackrel{?}{=} \sum_{k|n} NP_k(f)$$

Let's talk about this.

For example,

$$N\Phi_n(f) \stackrel{?}{=} \sum_{k|n} NP_k(f)$$

Let's talk about this.

We want to relate a preceding *n*-system to the total number of all essential irreducible orbits.

An essential irreducible orbit at level k has depth k since it's irreducible.

An essential irreducible orbit at level k has depth k since it's irreducible. So:

$$N\Phi_n(f) \geq \sum_{k|n} (\# ext{ essential irreducible orbits in } \mathcal{R}(f^k) \) \cdot k$$

An essential irreducible orbit at level k has depth k since it's irreducible. So:

$$N\Phi_n(f) \geq \sum_{k \mid n} (\# ext{ essential irreducible orbits in } \mathcal{R}(f^k) \) \cdot k$$

So

$$N\Phi_n(f) \geq \sum_{k|n} NP_k(f).$$

An essential irreducible orbit at level k has depth k since it's irreducible. So:

$$N\Phi_n(f) \geq \sum_{k \mid n} (\# ext{ essential irreducible orbits in } \mathcal{R}(f^k) \) \cdot k$$

So

$$N\Phi_n(f) \geq \sum_{k|n} NP_k(f).$$

So half of our equality is always true.

An essential irreducible orbit at level k has depth k since it's irreducible. So:

$$N\Phi_n(f) \ge \sum_{k|n} (\# \text{ essential irreducible orbits in } \mathcal{R}(f^k)) \cdot k$$

So

$$N\Phi_n(f) \geq \sum_{k|n} NP_k(f).$$

So half of our equality is always true. The other direction is not always true.

 $\mathcal{R}(f^k) = 1$ for every k, since π_1 is trivial.

$$\mathcal{R}(f^k)=1$$
 for every k , since π_1 is trivial.

So all classes at all levels reduce to level 1.

$$\mathcal{R}(f^k)=1$$
 for every k , since π_1 is trivial.

So all classes at all levels reduce to level 1.

But the level 1 class is inessential because f is fixed point free.

All classes reduce except the bottom inessential one.

So there is never any essential irreducible class, so $NP_n(f) = 0$ for all k.

So there is never any essential irreducible class, so $NP_n(f) = 0$ for all k.

But f^2 is the identity, so $N(f^2) = 1$

So there is never any essential irreducible class, so $NP_n(f) = 0$ for all k.

But f^2 is the identity, so $N(f^2) = 1$, so any preceding system will contain the class at level 1.

So there is never any essential irreducible class, so $NP_n(f) = 0$ for all k.

But f^2 is the identity, so $N(f^2) = 1$, so any preceding system will contain the class at level 1.

Thus $N\Phi_n(f) = 1$ but $\sum_{k|n} NP_k(f) = 0$.

The issue here is that we had essential classes at level 2 reducing down to inessential classes at level 1.

The issue here is that we had essential classes at level 2 reducing down to inessential classes at level 1.

Such a class will be counted in $N\Phi_n(f)$, but not in $NP_n(f)$.

The issue here is that we had essential classes at level 2 reducing down to inessential classes at level 1.

Such a class will be counted in $N\Phi_n(f)$, but not in $NP_n(f)$.

To get the summation formula, we require that this never happens.

Theorem (Heath & You, 1992) If f is essentially reducible, then

$$N\Phi_n(f) = \sum_{k|n} NP_k(f).$$

Theorem (Heath & You, 1992) If f is essentially reducible, then

$$N\Phi_n(f) = \sum_{k|n} NP_k(f).$$

This makes $N\Phi_n$ much easier to compute.

Theorem (Heath & You, 1992) If f is essentially reducible, then

$$N\Phi_n(f) = \sum_{k|n} NP_k(f).$$

This makes $N\Phi_n$ much easier to compute.

The essential reducibility condition holds for all maps on tori and all nil and solvmanifolds.

But it seems reasonable that $NP_n(f)$ could be computed in terms of $N\Phi_n(f)$ by inclusion-exclusion.

But it seems reasonable that $NP_n(f)$ could be computed in terms of $N\Phi_n(f)$ by inclusion-exclusion.

For example,

$$\#P_6(f) = \#\operatorname{Fix}(f^6) - \#\operatorname{Fix}(f^3) - \#\operatorname{Fix}(f^2) + \#\operatorname{Fix}(f^1)$$

But it seems reasonable that $NP_n(f)$ could be computed in terms of $N\Phi_n(f)$ by inclusion-exclusion.

For example,

$$\#P_6(f) = \#\operatorname{Fix}(f^6) - \#\operatorname{Fix}(f^3) - \#\operatorname{Fix}(f^2) + \#\operatorname{Fix}(f^1)$$

We "include" or "exclude" based on how exactly the levels divide one another.

This inclusion-exclusion idea actually works if we assume essential reducibility.

This inclusion-exclusion idea actually works if we assume essential reducibility.

Theorem If f is essentially reducible, then

$$NP_n(f) = \sum_{\tau \subset p(n)} (-1)^{\#\tau} N\Phi_{n:\tau}(f).$$

This inclusion-exclusion idea actually works if we assume essential reducibility.

Theorem If f is essentially reducible, then

$$NP_n(f) = \sum_{\tau \subset p(n)} (-1)^{\#\tau} N\Phi_{n:\tau}(f).$$

This is obtained directly by Möbius inversion of the previous theorem.

There are several other identities based on stronger assumptions of reducibility and other things.
There are several other identities based on stronger assumptions of reducibility and other things.

Sometimes you can even express $N\Phi_n(f)$ in terms of various $N(f^k)$.

There are several other identities based on stronger assumptions of reducibility and other things.

Sometimes you can even express $N\Phi_n(f)$ in terms of various $N(f^k)$.

In particular this is true for tori.

Is it really true that $N\Phi_n(f) = \min\{\# \operatorname{Fix}(g^n) \mid g \simeq f\}$?

Is it really true that $N\Phi_n(f) = \min\{\# \operatorname{Fix}(g^n) \mid g \simeq f\}$?

And $NP_n(f) = \min\{\#P_n(g) \mid g \simeq f\}$?

Is it really true that $N\Phi_n(f) = \min\{\# \operatorname{Fix}(g^n) \mid g \simeq f\}$?

And
$$NP_n(f) = \min\{\#P_n(g) \mid g \simeq f\}$$
?

Probably we'll need to assume manifolds of dimension $\neq 2$.

1

Theorem

If X is a manifold of dimension \neq 2 and f is a selfmap, then there is some map g \simeq f with

$$\mathsf{N}\Phi_n(f) = \#\operatorname{Fix}(g^n).$$

Theorem

If X is a manifold of dimension \neq 2 and f is a selfmap, then there is some map $g \simeq f$ with

$$N\Phi_n(f) = \#\operatorname{Fix}(g^n).$$

This was stated by Halpern in 1980 assuming that dim $X \ge 5$.

Theorem

If X is a manifold of dimension \neq 2 and f is a selfmap, then there is some map $g \simeq f$ with

$$N\Phi_n(f) = \#\operatorname{Fix}(g^n).$$

This was stated by Halpern in 1980 assuming that dim $X \ge 5$. Called the "Halpern conjecture."

Theorem

If X is a manifold of dimension $\neq 2$ and f is a selfmap, then there is some map $g \simeq f$ with

$$N\Phi_n(f) = \#\operatorname{Fix}(g^n).$$

This was stated by Halpern in 1980 assuming that dim $X \ge 5$. Called the "Halpern conjecture."

Proved in mid 2000s by Jezierski, for PL-manifolds

Theorem

If X is a manifold of dimension \neq 2 and f is a selfmap, then there is some map $g \simeq f$ with

$$N\Phi_n(f) = \#\operatorname{Fix}(g^n).$$

This was stated by Halpern in 1980 assuming that dim $X \ge 5$. Called the "Halpern conjecture."

Proved in mid 2000s by Jezierski, for PL-manifolds, first for dim $X \ge 4$, then 3.

Theorem

If X is a manifold of dimension \neq 2 and f is a selfmap, then there is some map $g \simeq f$ with

$$N\Phi_n(f) = \#\operatorname{Fix}(g^n).$$

This was stated by Halpern in 1980 assuming that dim $X \ge 5$. Called the "Halpern conjecture."

Proved in mid 2000s by Jezierski, for PL-manifolds, first for dim $X \ge 4$, then 3.

Realizing by a smooth map is different. (Jezierski's talk today)

If X is a manifold of dimension $\neq 2$ and f is a selfmap, then there is some map $g \simeq f$ with

 $NP_n(f) = \#P_n(g).$

If X is a manifold of dimension \neq 2 and f is a selfmap, then there is some map $g \simeq f$ with

 $NP_n(f) = \#P_n(g).$

This is also true.

If X is a manifold of dimension $\neq 2$ and f is a selfmap, then there is some map $g \simeq f$ with

 $NP_n(f) = \#P_n(g).$

This is also true. (I think)

If X is a manifold of dimension $\neq 2$ and f is a selfmap, then there is some map $g \simeq f$ with

 $N\Phi_n(f) = \#Fix(g^n)$ for all n

If X is a manifold of dimension $\neq 2$ and f is a selfmap, then there is some map $g \simeq f$ with

 $N\Phi_n(f) = \#Fix(g^n)$ for all n

This would be a "simultaneous Wecken theorem".

If X is a manifold of dimension \neq 2 and f is a selfmap, then there is some map $g \simeq f$ with

 $N\Phi_n(f) = \#Fix(g^n)$ for all n

This would be a "simultaneous Wecken theorem". (Similarly for NP_n)

If X is a manifold of dimension $\neq 2$ and f is a selfmap, then there is some map $g \simeq f$ with

 $N\Phi_n(f) = \#Fix(g^n)$ for all n

This would be a "simultaneous Wecken theorem". (Similarly for NP_n)

For $N\Phi_n$ this is an open question.

Recall our example: the antipodal map on S^2 .

Recall our example: the antipodal map on S^2 .

Here $NP_n(f) = 0$ for all *n*, since the class at level 1 is inessential, and all classes at other levels reduce to it.

Recall our example: the antipodal map on S^2 .

Here $NP_n(f) = 0$ for all *n*, since the class at level 1 is inessential, and all classes at other levels reduce to it.

The simultaneous Wecken theorem would mean that all periodic points could be removed simultaneously.

In this example N(f) = 0 but $N(f^2) = 1$ so all maps homotopic to f have a periodic point of period 2.

In this example N(f) = 0 but $N(f^2) = 1$ so all maps homotopic to f have a periodic point of period 2.

This point could be of minimal period 2, or it could be a fixed point.

In this example N(f) = 0 but $N(f^2) = 1$ so all maps homotopic to f have a periodic point of period 2.

This point could be of minimal period 2, or it could be a fixed point.

In any case, we cannot remove every periodic point of f simultaneously.

That's all for now!

That's all for now!

Next time, coincidences.